

## UTILITY PATENT APPLICATION TRANSMITTAL

Docket No.: HAL-02016First Inventor: Allan HalesTitle: Embroidery Machine with a Cap Bill Support Device Express Mail No.: EUS79553165US

## Application Elements

Address to: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (original and copy)
2. ☒ Applicant claims small entity status (37 CFR 1.27)
3. ☒ Specification Total Pages: 13  
 - Descriptive title of the invention  
 - Cross Reference to Related Applications  
 - Reference to sequence listing, a table, or computer program listing appendix  
 - Background of the Invention  
 - Brief Summary of the Invention  
 - Brief Description of the Drawings (if filed)  
 - Detailed Description  
 - Claim(s)  
 - Abstract of the Disclosure
4. ☒ Drawing(s) Total Sheets: 7
5. Oath or Declaration Total Pages: 1  
 a. ☒ Newly executed (original or copy)  
 b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
 i. ☐ Deletion of Inventor(s)  
 Signed statement attached deleting Inventors named in prior application.
6. ☐ Application Data Sheet
7. ☐ CR-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

## ACCOMPANYING APPLICATION PARTS

8. ☐ Power of Attorney
9. ☐ Assignment Papers (cover sheet and document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
11. ☒ Information Disclosure Statement (IDS) 12 Copies of Citations
13. ☐ Preliminary Amendment
14. ☐ Certified Copy of Priority Documents(s) (if foreign priority is claimed)
15. ☒ Non-publication request

## If a Continuing Application:

☐ Continuation ☐ Divisional ☐ Continuation-in-part

Of prior application No.: / Examiner: GAU

17354 U.S. PTO  
10/620502  
07/15/03

**CORRESPONDENCE ADDRESS**

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Name: Allan Hales  
Signature: Allan Hales Date: 7-15-3

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**EXPRESS MAIL CERTIFICATE**

"EXPRESS MAIL" Mailing Label Number: EU579553165US

Deposit Date: 7-15-3

I hereby certify under 37 CFR 1.10 that this correspondence is being deposited with the United States Postal Service as "**Express Mail Post Office to Addressee**" on the date indicated above and is addresses to the U.S. Patent & TM Office, 2011 S. Clark Place, Customer Window, Mail Stop Patent Application, Crystal Plaza 2, Lobby Room 1B03, Arlington, VA 22202.

Allan Hales Name of Depositor  
Allan Hales Signature

# FEE TRANSMITTAL FORM

(Year 2003)

Payment Total \$ 375.00

If known:

Application No.: \_\_\_\_\_

Filing Date: 7-15-3

First Inventor: Allan Hales Examiner Name: \_\_\_\_\_

GAU: \_\_\_\_\_ Docket No.: HAL-02016

Small Entity Status: ☒ Applicant claims small entity status (37 CFR 1.27)

## Method of Payment:

1. ☐ Deposit Account

Account Number \_\_\_\_\_

Account Name: \_\_\_\_\_

☐ Charge any additional Fee Required  
Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed

☒ Check ☐ Credit Card ☐ Money Order

## Fee Calculation:

### 1. Basic Filing Fee:

Large Entity		Small Entity		Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	750	201	375	Utility filing fee	\$375
106	330	206	165	Design filing fee	
107	520	207	260	Plant Filing fee	
108	750	208	375	Reissue filing fee	
114	160	214	80	Provisional fee	
Subtotal (1)					\$ 375

### 2. Extra Claim Fees:

Total Claims	Extra	Fee	Fee Paid
<u>20</u>	<u>-20</u> = <u>0</u>	<u>X</u>	<u>0</u>
Ind. Claims: <u>3</u>	<u>-3</u> = <u>0</u>	<u>X</u>	<u>0</u>
Multiple Dependent			<u>0</u>

Large Entity		Small Entity		Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim
109	84	209	42	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

### 3. Additional Fees:

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Description	Fee Paid
105	130	205	65	Surcharge- late fee	
127	50	227	25	Surcharge-late fee Provisional	
115	110	215	55	Extension 1 <sup>st</sup> month	
116	410	216	205	Extension 2 <sup>nd</sup> month	
117	930	217	465	Extension 3 <sup>rd</sup> month	
118	1450	218	725	Extension 4 <sup>th</sup> month	
128	1970	228	985	Extension 5 <sup>th</sup> month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing Brief in support of an appeal	
121	280	221	140	Request oral hearing	
140	110	240	55	Petition to retrieve unavoidable	
141	1300	241	650	Petition to retrieve unintentional	
142	1300	242	650	Utility issue fee	
143	470	243	235	Design Issue fee	
144	630	244	315	Plant issue fee	
122	130	122	130	Petition fee	
581	40	581	40	Assignment fee	
146	750	246	375	Filing submission AF (37 CFR 1.129(a))	
149	750	249	375	Additional invention (37 CFR 1.129(b))	
179	750	279	375	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of design app	

Other Fee: \_\_\_\_\_

Subtotal (3) \$ 0

Name: Allan Hales

Telephone: (888) 912-1235

Signature: Allan Hales

Date: 7-15-3

**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor: Allan Hales  
Title: Embroidery Machine with a Cap Bill Support Device  
Docket No.: HAL-02016

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

7-15-3  
Date

Allan Hales  
Typed or printed name

Allan Hales  
Signature

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this non-publication request at any time. IF applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**